

ACCOUNT OPENING FORM (CORPORATE ACCOUNT)



CATEGORY OF BUSINESS

Limited Liability Company Partnership Enterprises Others: please specify _____

COMPANY INFORMATION

Company Name:

Registration Number:
Date of Incorporation: / /
Type/Nature of Business:
Industry:
Company Address:

Mailing Address:

Country of Residence:
Email Address:
Telephone: Website:
Purpose of Investment:
Source of Investment Fund:
Tax Identification Number(TIN):
Average Annual Turnover(NGN):
Less than 50m 50m - Less than 500m 500m - Less than 5B Above 5B

1. ACCOUNT SIGNATORY'S DETAILS

Title:
Surname:
Firstname:
Other name(s):
Date of Birth: / /
Mother's Maiden Name: Gender: M F
E-mail:
State of Origin: LGA:
Nationality: Marital Status: Single Married Divorced
Residential address:

City: State:
Telephone: Mobile:
Means of ID: International Passport Driver's License NIMC Voter's Card

ID Number:

Issue Date: / /

Expiry Date: / / Tax ID:

Occupation: Status/Title:

Nationality: Resident Permit No:
(Non-Nigerian)

Permit Issue Date: / / Permit Expiry Date: / /

BVN:

Class of Signatory: A B C
(Please indicate class in the box)

Signature: _____ Date: / /

2. ACCOUNT SIGNATORY'S DETAILS

Title:

Surname:

Firstname:

Other name(s):

Date of Birth: / /

Mother's Maiden Name: Gender: M F

E-mail:

State of Origin: LGA:

Nationality: Marital Status: Single Married Divorced

Residential address:

City: State:

Telephone: Mobile:

Means of ID: International Passport Driver's License NIMC Voter's Card

ID Number: Issue Date: / /

Expiry Date: / / Tax ID:

Occupation: Status/Title:

Nationality: Resident Permit No:
(Non-Nigerian)

Permit Issue Date: / / Permit Expiry Date: / /

BVN:

Class of Signatory: A B C
(Please indicate class in the box)

Signature: _____ Date: / /

1. DETAILS OF THE DIRECTORS/ EXECUTIVES/ PRINCIPAL OFFICER

Title:

Surname:

Firstname:

Other name(s):

Other name(s):

Date of Birth: / /

Mother's Maiden Name: Gender: M F

E-mail:

State of Origin: LGA:

Nationality: Marital Status: Single Married Divorced

Residential address:

City: State:

Telephone: Mobile:

Means of ID: International Passport Driver's License NIMC Voter's Card

ID Number: Issue Date: / /

Expiry Date: / /

Occupation: Status/Title:

Nationality: Resident Permit No:
(Non-Nigerian)

Permit Issue Date: / / Permit Expiry Date: / /

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2. DETAILS OF THE DIRECTORS/ EXECUTIVES/ PRINCIPAL OFFICER

Title:

Surname:

Firstname:

Other name(s):

Other name(s):

Date of Birth: / /

Mother's Maiden Name: Gender: M F

E-mail:

State of Origin: LGA:

Nationality: Marital Status: Single Married Divorced

Residential address:

City: State:

Telephone: Mobile:

Means of ID: International Passport Driver's License NIMC Voter's Card

ID Number: Issue Date: / /

Expiry Date: / /

Occupation: Status/Title:

Nationality: Resident Permit No:
(Non-Nigerian)

Permit Issue Date: / / Permit Expiry Date: / /

BVN:

INVESTMENT PRODUCTS/PAYMENT DETAILS

Marble Alpha Portfolio Investment Marble Beta Portfolio Investment

Marble Gamma Portfolio Investment Marble Bespoke Portfolio Investment

Marble Ethical Commodities Investment Initial Investment Amount: _____

Marble Fund	Bank	Account Name	Account number	Minimum Subscription Amount
Marble Ethical Commodities Investment	FCMB	FCMB NOMINEES/Marble Capital Limited	2004916120	NGN10,000
Marble Alpha Portfolio	FCMB	FCMB Nominees Ltd/Marble Alpha Portfolio	2004331585	NGN50,000
Marble Beta Portfolio	FCMB	FCMB Nominees Ltd/Marble Beta Portfolio	2004331592	NGN50,000
Marble Gamma Portfolio	FCMB	FCMB Nominees Ltd/Marble Gamma Portfolio	2004331671	NGN50,000
Marble Bespoke Portfolio	FCMB	FCMB NOMINEES/Marble Capital Limited	6474767541	NGN20,000,000

CHECKLIST

Documents Required	Checked	Deferred	Waived
Completed Application Form (all relevant fields must be completed)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proof of company's address	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clear passport-size photographs of each signatory, with names written on the reverse side	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Specimen Signature Card (where required)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Board Resolution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Return of Allotment of Shares Form CAC2 (LLC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Copy of CAC Certificate of Registration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Copy of Memorandum and Articles of Association (certified as True copy by the Reegistar of Companies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Evidence of Registration with Special Control Unit on Money Laundering (SCUML) (where applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Corporate Search Report	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Particulars of Directors Form CAC7 (LLC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Resident Permit or Work Permit (for non-Nigerians)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proof of Identity of all Signatories and Directors/Officers whose name appear on the account opening form/document (Preferred Identity card are Int'l Passport, National Identity Card, National Driver's License, and Valid Nigerian INEC Voter's Card)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proof of Address of all Signatories and Directors/ Officers whose name appear on the account opening form/document Utility bill(Certified true copy is acceptable if original is not held)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

TERMS AND CONDITIONS

Please read the following terms and conditions carefully. By completing and signing this form, you (The Client) agree to be bound by its terms.

The terms and conditions below shall serve as the fundamental for the agreement between Marble Capital Limited referred to in this agreement as 'Marble Capital' or 'its' and the client referred to as "I, me, my, we, you" in this agreement irrespective of whether the client is Individual or Joint-account holders.

1.0 Account Opening

1.1 I/we have irrevocably requested and Marble Capital has agreed to open a Marble Investment Account on my behalf.

2.0 Know Your Customer

2.1 Marble Capital will not open an account for me until all required documentation for opening an account has been provided by me as I have received the list of documents.

2.2 I will earn no returns on any deposited funds prior to the provision of the required KYC documentation.

2.3 If additional documents or information is required, I will supply it to Marble Capital and in the event of a failure to do so, Marble Capital may transfer, freeze or close my account.

2.4 I hereby authorize Marble Capital to undertake at my own cost, all the "Know your Customer" procedures required by the regulators, laws, Marble Capital's policies, or for the confirmation of my details and legal status at the appropriate registry.

3.0 Genuineness of Instruments

I assume full responsibility for the genuineness, correctness, and validity of all endorsements appearing on all the receipts, orders, cheques, bills, notes, negotiable instruments, or other documents deposited for my investment account.

4.0 Safe Operation of Account

4.1 I will ensure the safety of my account details

4.2 I hereby assume full responsibility and ensure safe custody of all print and electronic correspondence issued to/or by Marble Capital regarding my account.

4.3 I will notify Marble Capital whenever I have reason to suspect that an unauthorized person has access to any print or electronic correspondence issued to or by Marble Capital regarding this account.

4.4 I agree to indemnify MARBLE CAPITAL against any loss, damage, or liability resulting from my non-compliance to the above.

5.0 Instructions

5.1 I hereby authorize Marble Capital to honour for and to the debit of my account(s) any, and all payment instructions issued which will be executed in accordance with the Account mandate.

5.2 I agree that Marble CAPITAL may refuse to act on any instruction if

(I) Marble Capital doubts the GENUINENESS of the instruction or does not consider it to be sufficient enough.

(II) It believes that doing so might cause a breach of any regulation, order, law, code, or contractual obligation binding on me or Marble CAPITAL.

6.0 Third-Party Enquiries

6.1 I understand and authorize Marble Capital without reservation to make third-party enquiries about me and my business now or at any time in the future in order to satisfy all required "Know Your Customer ("KYC")" obligations statutorily imposed from time to time on financial institutions in the Federal Republic of Nigeria.

7.0 Operation of Account

7.1 I understand that funds deposited into my Investment Account with Marble Capital are not interest-bearing. Any fund will remain in my account until an expressed instruction is received by Marble Capital from me authorizing the utilization of the fund in a Shariah Compliant manner.

7.2 I agree that the operation of the account is subjected to compliance by Marble Capital with all laws, regulations, administrative rules, and orders which may from time to time be issued by the Federal Government of Nigeria and/or any other regulatory authorities in Nigeria.

7.3 I agree that Marble Capital will accept no liability whatsoever for the funds handed to any member of Marble Capital's staff outside office hours or outside the Marble Capital office premises, except those officers that have been pre-authorized by Marble Capital.

7.4 I shall be responsible for all costs expenses, and liabilities arising from the purchase, retention, and sales of investments made on my behalf by Marble Capital which include but are not limited to all tax, statutory fees duties, and levies.

7.5 Marble Capital shall not be liable for any loss or damages sustained by me by reason of the operation of the investment provided such loss or damages were not caused or facilitated by Marble Capital or any of its staff action on its instructions.

7.6 Marble Capital in its sole discretion may at any time suspend or vary the terms and conditions of the operation of the account. Marble Capital will however promptly notify me of any suspension of service, changes, or applicable charges and tariffs payable by me regarding the operation of my Account.

8.0 Law

8.1 These terms and conditions stated in this document shall be read, interpreted, and governed in accordance with the laws of the Federal Republic of Nigeria.

9.0 Redemption

9.1 Redemption shall be effected on a continuous basis throughout the life of the fund. I shall have the right to redeem all or part of my investment funds held by Marble Capital at the bid price on any Business Day immediately following Marble Capital's receipt of my redemption notice.

9.2 I can redeem my investment up to the minimum permissible holding after the minimum period or as may be advised by Marble Capital from time to time.

9.3 I agree to comply with the minimum holding period of investment (90 days) failing which I accept any cost and charges that may arise as a result of my redemption.

10.0 Fees and Charges

I agree that Marble Capital shall set-off against my account any pre-advised commission, charge(s), tariff(s),

TERMS AND CONDITIONS

deductions, or cost associated with the operation of the account.

11.0 Indemnity for Third-Party Instruction

The client agrees that in consideration of Marble Capital issuing or accepting third-party bank cheques, bank drafts, and/or other negotiable instruments from time to time, the client hereby irrevocably undertakes to fully indemnify Marble Capital against all losses, expenses cost, damages or otherwise, that may occur as a result of the issuance or acceptance of the said third-party cheques, draft and/or negotiable instrument.

12.0 Investment Risk Warning

12.1 I understand the risk inherent in investments that the value of my investment may rise or fall.

12.2 I totally understand that there are no guaranteed returns in my investments and that prices of traded securities are subject to changes and that past performance is not a guarantee of future performance.

12.3 I agree that Marble Capital shall have no responsibility or liability for any diminution of my investment due to any future governmental order, levy, tax, embargo, moratorium or imposts, or depreciation in value of fund due to inflation or the unavailability

13.0 Right of Set-off

I agree that in addition to any general lien or similar right to which Marble Capital may be entitled by law. Marble Capital may at any time and without prior notice to the client combine or consolidate all or any of the client's Account without liabilities to Marble

CAPITAL or any other account or in any other respect whether such liabilities be actual or contingent, primary or collateral and several or joint.

14.0 Disruption of Service(s)

14.1 I agree that Marble Capital shall have no liability for failure to provide any agreed services) due to reasons beyond its control. These reasons include but are not limited to industrial action, network breakdown, power failure, riot, civil commotion, political unrest or armed insurrection.

15.0 Disclosure

15.1 Marble Capital will treat information relating to my account as confidential but (unless consent is prohibited by law).

15.2 I consent to the transfer and disclosure by Marble Capital of any information relating to my account(s) to and between the branches, subsidiaries, representatives offices, affiliates and agents of Marble Capital and third parties authorized by regulator or legal process, for confidential use or disclosure obligation statutorily imposed from time to time on financial institutions operating in the Federal Republic of Nigeria.

16.0 Statements

Marble Capital shall send a fund statement with respect to investments made by email, at my /our risk to the address given above. Marble Capital may provide you with authenticated hard copy of your statement subject to such reasonable cost as may be determined by Marble Capital.

DECLARATION

I/We hereby apply for the opening account(s) with Marble Capital Limited that the information given is correct to the best of my knowledge, and will inform Marble Capital of any change in the information given in this form within five (5) working days of such change. I/We certify that the fund and source of funds are legitimate and not a proceed of any unlawful activity.

I/We shall comply with the minimum holding period of investment (30 days) failing which I accept any cost and change that may arise as a result of my/our redemption. All disclosures about this investment were fully made to me and I am investing solely at my own interest.

I/We have read the Terms and Conditions and agree to be bound by them.

Signed, sealed and delivered by the within named person

Director (Name and Signature)

Director (Name and Signature)

Company Seal

